

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: Appleton Housing Authority _____ PHA Code: WI065 PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): 01/01/2011					
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 211 Number of HCV units: 597					
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only					
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)					
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
	PHA 1:				PH	HCV
	PHA 2:					
	PHA 3:					
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.					
	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The Appleton Housing Authority exists to promote equal access to affordable, decent, safe and sanitary housing, especially for low income and elderly.					

5.2 Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

Goal/Objective:

The Appleton Housing Authority will submit applications for Low Income Housing Tax Credits to WHEDA for elderly/non-elderly disabled and possibly family units. This may be done for Public Housing Units.

Goal/Objective:

The Appleton Housing Authority will continue to research public housing disposition/disposal/conversion activities over the next 5-years and will make an application to HUD for the disposition/disposal or conversion of 5-12 public housing units. The conversion of these properties may be replaced with a Housing Choice Voucher or funds will be used for the acquisition of newer replacement public housing. The Appleton Housing Authority will enter into a fourth partnership with the Appleton Area School District for the construction of ranch style universal design homes/duplexes that will become public housing replacement units. These units will serve very low income families including those with special needs.

Goal/Objective:

The Appleton Housing Authority will create a new Public Housing Resident Advisory Board.

Goal/Objective:

We will complete the Lease-Up of 2010 Veterans Administrative Supportive Housing (VASH) Vouchers and apply for additional vouchers when available for all populations.

Goal/Objective:

The Authority will apply for funding under the Family Unification Program NOFA.

Goal/Objective:

The Authority will apply for funding under the Department of Health & Human Services on behalf of the elderly clients at Oneida Heights.

Goal/Objective:

The Authority will continue to make application and work as the lead agency for the Tenant Based Rental Assistance Program as administered by the State of Wisconsin.

Goal/Objective:

The Authority will continue to make application and work as the lead agency for the HOME/HCRI Grant.

Goal/Objective: The Authority will apply for all housing funded programs through the State of Wisconsin and/or the Federal Government.

Goal/Objective:

The Authority will continue our partnerships & MOU's with the OCHA & Kaukauna Housing Authorities in providing rental assistance and homebuyer assistance for Outagamie County residents.

The Appleton Housing Authority has entered into an agreement with the Outagamie County Housing Authority and the Kaukauna Housing Authority to operate our home ownership and voucher programs within their PHA jurisdiction expanding housing options for our lower income families.

Goal/Objective:

The Authority will provide ongoing support for the Health Fair at Oneida Heights.

Goal/Objective:

Maintain "High Performer" status under the PHAS and SEMAP assessment rating by HUD.

Goal/Objective:

We will provide an improved living environment by completing our Capital Fund Projects.

Goal/Objective:

The Authority will enter into a fourth partnership with the Appleton Area School District for the construction of ranch style universal design homes/duplexes that will become public housing replacement units. These units will serve very low income families including those with special needs. The Housing Authority will fund-raise to help meet this goal.

Goal/Objective:

We will support & research the feasibility of the replacement of the Oneida Heights Annex.

Goal/Objective:

The Authority will conduct an agency-wide strategic planning event.

Goal/Objective:

The Authority will implement Fair Housing Practices in all business affairs of the agency.

Goal/Objective:

The Appleton Housing Authority will make an application to HUD for the disposition/disposal or conversion of 5-12 public housing units. The conversion of these properties may be replaced with a Housing Choice Voucher or funds will be used for the acquisition of newer replacement public housing.

Goal/Objective:

The Appleton Housing Authority is interested in acquiring additional properties under the public housing regulations or free standing mixed finance rate with attached affordable housing units. The Authority is considering the disposal of the OH Annex with replacement housing. The AHA will work with the local HUD Field Office on these activities.

Goal/Objective:

The Authority will submit funding applications to support further affordable housing development.

Goal/Objective:

The Authority will work with the City of Appleton on the proposed demolition/future of the Washington Place facility and obtain a HUD approval to transfer the current Section 8 Contract & land use restriction to the new location in the Eagle Flats Development. The new building will be named "Riverwalk Place".

Goal/Objective:

The Appleton Housing Authority has successfully implemented a First Time Home Buyer Program since 1993. This program is geared towards low income families. The program uses HOME dollars from the State of Wisconsin, CDBG Funds from the City of Appleton, and Federal Home Loan Grant dollars. These funds

provide down-payment and rehabilitation assistance to the families. It is our goal to continue to apply for these grants and research new grants in order to provide these services in the future.

Goal/Objective:

The Housing Authority offers extensive homeownership counseling and educational classroom training, coupled with financial assistance. The Housing Authority has created a Lender Consortium of local participating lenders who have agreed to minimize closing costs, some waiving PMI charges, and consider liberal debt and loan-to-value ratios. More than 340 low income applicants have become successful home owners through the Housing Authority's program. It is the intent of the Housing Authority to continue this in-depth education and seek additional funds such as becoming a HUD Certified Counseling Agency.

Goal/Objective:

The Appleton Housing Authority has entered into an agreement with the Outagamie County Housing Authority and the Kaukauna Housing Authority to operate our home ownership and voucher programs within their PHA jurisdiction expanding housing options for our lower income families.

Goal/Objective:

The Appleton Housing Authority has created a non-profit titled 'Neighborhood Housing, Inc' to assist the Authority in creating additional affordable housing opportunities for low income families/seniors and those with special needs, home-buyer education, FSS and the school build partnership projects.

Goal/Objective:

The Housing Authority will work with the Department of Veterans Affairs in the delivery of 50 VASH Vouchers, providing rental assistance to homeless veterans & their families.

6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>A. The Appleton Housing Authority has made changes to the Administrative Plan and ACOP under Pet Policy, Smoking Policy and Re-payment Agreements and EVI policy amendments</p> <p>B. The public may obtain a copy of the 5-Year & Annual PHA Plan by providing a signed written request at the offices of the Appleton Housing Authority located at 925 W. Northland Avenue, Appleton, WI 54914 between the hours of 8:00am and 4:00pm.</p>
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p>
8.3	<p>Capital Fund Financing Program (CFFP).</p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>

9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>Our area has seen an enormous increase in rental assistance needs due to high foreclosure rate and job loss. 1/3 of our waiting list of 1250 has a disabled family member. Accessible units are in high demand. While 2009 demonstrated a high need of 1-2 bedrooms, the need for 3 bedrooms is slowly increasing.</p>
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9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>It is difficult for the Appleton Housing Authority to meet the needs of our lower income population due to highly limited federal funds. The Housing Choice Voucher low program turnover rate of approximately 59 families per year provides little hope if any. It is our continued goal to maximize participation through our budget authority and lease-up while trying to reduce average HAP costs. Our current budget at times will not support our baseline of 547 units and those participating under FSS for escrow accounts. The AHA was approved for 50 VASH Vouchers to assist homeless veterans. We will work closely with the VA in developing & implementing this program. The AHA will apply for all available federal/state grants to help meet the need of our lower income families/seniors/disabled population and will work collaboratively with other agencies in creating opportunities for housing.</p> <p>The Appleton Housing Authority implements the 'Rent Smart' program training for all tenants in the community. This training provides valuable resources & tools for low-income people to obtain decent & adequate housing.</p> <p>Under the public housing program, the Authority is maintaining its stock through the capital fund program for long term viability. Older public housing stock is being replaced with new construction and universal design to accommodate the special needs population. The Authority has plans to redevelop Washington Place to preserve affordable housing for its 70 senior occupants. We will continue to seek new funds and/or partnerships to create affordable housing opportunity for our community.</p> <p>The Authority has plans to redevelop Washington Place to preserve affordable housing for its senior occupants. We will continue to seek new funds and/or partnerships to create affordable housing opportunity for our seniors. We will continue to dispose of our older high-maintenance, non-accessible housing and build new accessible housing. We will submit an application for ROSS and other grants where we are eligible to apply.</p>
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	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p><u>Meeting Goals:</u> The Appleton Housing Authority is on track to meeting it's goals and objectives of the past five years through various methods of implementing affordable housing programs. We were successful in obtaining Tax Credit Funding for the preservation of Washington Place, a 70 unit senior facility. We were successful in obtaining Neighborhood Stabilization funds to demolish an abandoned property and construct two new duplexes that are handicap accessible. We created a non-profit arm of the AHA to assist in fund-raising efforts to support various programs. We implemented a Rent Smart Program to assist tenants in obtaining housing. We successfully implemented our Capital Fund Programs sustaining public housing. We revamped our board reports and various policies to strengthen our internal controls & procedures. On an on-going basis the Appleton Housing Authority continues to strive for excellence in providing quality, safe, affordable housing.</p> <p>The Appleton Housing Authority fully complies with the Violence Against Women Act (VAWA). The provisions of the VAWA is incorporated into our Public Housing ACOP and Section 8 Administrative Plan. These provisions were approved by the board of commissioners by resolution. The AHA works closely with local domestic abuse shelters to enhance the safety of residents/participants we serve. The VAWA is incorporated into our briefings to families. (see attached Activity Statement)</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p>The Appleton Housing Authority considers the following Significant Amendments/Substantial Deviations to PHA Policies/Plans that would be cause for a Board of Commissioners review and approval: 1. Changes to rent or admissions policies or organization of the waiting list. 2. Additions of non-emergency work items (items that were not included in the current Annual Statement or Five-Year Action Plan. 3. Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p> <p>All required forms/resolutions/certifications will be attached to the hard copy submitted to HUD.</p>

2011 PHA Plan Attachment: Appleton Housing Authority VAWA Statement

Prohibition Against Terminations Under VAWA (Violence Against Women Act)

The Violence Against Women Reauthorization Act of 2005 limits the owner's and the PHA's right to terminate tenancy or program assistance under certain circumstances.

The AHA or any owner may not construe actual or threatened domestic violence, dating violence or stalking as:

- a. A serious or repeated violation of the lease by the victim
- b. Other good cause for terminating the tenancy or occupancy rights of the victim
- c. Criminal activity justifying the termination of the tenancy, occupancy rights, or program assistance of the victim

Victim Documentation

When the actions of a tenant, household member, guest or other person under the tenant's control call for the termination of tenancy or assistance, and the tenant claims that he/she is the victim of such actions that are related to domestic violence, dating violence, or stalking, the AHA or owner must ensure the family is provided the protections afforded under VAWA.

The AHA may request that the individual certify that he/she is a victim and that the incident/incidents in question are bona fide incidents of such actual or threatened abuse.

The certifications must include the name of the perpetrator and be provided within 14 business days after the individual receives a request from the AHA.

- a. The AHA has the discretions to extend the 14 day deadline when deemed necessary.
- b. If the individual does not submit the certification within the required time frame, the AHA may proceed with terminating assistance by eviction.

Accepted types of certification by an individual would be documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional from which the victim sought assistance in addressing the actual or threatened abuse.

The AHA may provide assistance to an individual based solely on the individual's statement or other corroborating evidence.

Evicting or Termination Assistance of a Perpetrator

Notwithstanding any Federal, State, or local law to the contrary, a public housing agency may terminate assistance to, or remove a household member from a lease, without regard to whether a household member is a signatory to a lease, in order to remove, or terminate assistance to any individual who is a tenant and who engages in criminal acts of physical violence against family member, without evicting, removing, or terminating assistance to the victim.

The AHA will remove the perpetrator from the family's household and continue assisting the family when the family has provided a bona fide certification that they have been a victim of violence in accordance with the certification policy.

The AHA retains the authority to terminate housing of a victim so long as the lease violation is premised on something other than an act of domestic violence.

During 2010 there has been no activity or assistance provided under VAWA.

PHA Certifications of Compliance with PHA Plans and Related Regulations	U.S. Department of Housing and Urban Development Office of Public and Indian Housing Expires 4/30/2011
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**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year and/or X Annual PHA Plan for the PHA fiscal year beginning 2011, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Appleton Housing Authority

W1065

PHA Name

PHA Number/HA Code

____ 5-Year PHA Plan for Fiscal Years 20____ - 20____

☒ Annual PHA Plan for Fiscal Years 2011 - 20____

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Edward Schmidt

Title

AHA Board Chairperson

Signature

Edward A Schmidt

Date

10/11/2010

**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Timothy Hanna the Mayor of the City of Appleton certify that the Five Year and
Annual PHA Plan of the Appleton Housing Authority is consistent with the Consolidated Plan of
the City of Appleton prepared pursuant to 24 CFR Part 91.



Signed / Dated by Appropriate State or Local Official

Civil Rights Certification

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Civil Rights Certification**Annual Certification and Board Resolution**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Appleton Housing Authority

WIO65

PHA Name

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Edward Schmidt

Title

AHA Board Chairperson

Signature



Date 10/11/2010

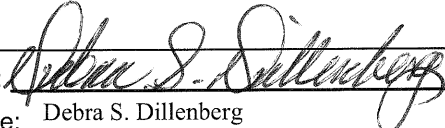
DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance		2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award c. post-award		3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____	
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:			5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:		
Congressional District, if known: 4c 8			Congressional District, if known:		
6. Federal Department/Agency: Department of Housing & Urban Development			7. Federal Program Name/Description: PHA Annual Plan 2011 CFDA Number, if applicable: _____		
8. Federal Action Number, if known:			9. Award Amount, if known: \$		
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): N/A			b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):		
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.			Signature:  Print Name: Debra S. Dillenberg Title: Executive Director Telephone No.: 920-739-6811 X 104 Date: 10/1/2010		
Federal Use Only:					Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

Certification for a Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Applicant Name

Appleton Housing Authority

Program/Activity Receiving Federal Grant Funding

Capital Fund Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

925 W. Northland Avenue
Appleton, WI 54914

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

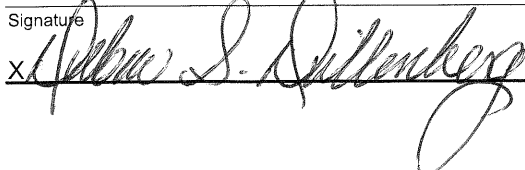
Name of Authorized Official

Debra S. Dillenberg

Title

Executive Director

Signature

X 

Date

10/1/2010

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Appleton Housing Authority

Program/Activity Receiving Federal Grant Funding

PHA Annual Plan 2011

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Debra S. Dillenberg

Title

Executive Director

Signature

Date (mm/dd/yyyy)

10/1/2010

April 22, 2010 PHA Public Hearing
Comments: By Various Residents/Agencies

Comments: Expand Postal Mailboxes at Oneida Heights & Annex. Current mailbox openings are too small and don't allow for magazines or other small parcels. Catalogs and magazines get ruined. It is very frustrating.

Response: This item is already planned for 2010 Capital Fund Projects. There will be new mailboxes and the new design calls for three new large boxes for large mail items that will have a private key for entry.

Comment: Need security cameras for parking lot and hallways.

Response: This item is already planned for Capital Fund project 2010/2011 year.

Comments: Residents like the idea of carpet replacement in the hallways.

Response: Debra will add replacement of hallway carpeting and tiling. The specification will be bid out to include all hallways, but depending upon cost, it may mean that some hallways will not be replaced until the next round of funds in 2012.

Comments: Would like to see more walk-in showers for tenants.

Response: Debra will add 504 Bath Updates to 2011 Capital Funds. This is a great suggestion.

Comments: Residents park their vehicles in non-assigned visitor spots, which ties up these spaces for visitors.

Response: The AHA cannot add to the existing parking lot. The employees no longer park on the lot, allowing more room for visitors. Management will monitor the parking lot more carefully.

Comment: The TV Stations are always going in & out. Please help.

Response: The AHA will look into this continuous problem and also look at other options such as direct cable in place of satellite service.

Comments: The Appleton Housing Authority should apply for additional vouchers.

Response: The AHA applied for and was denied voucher funding for Family Unification Program/ROSS Funding/Vouchers for Non-Elderly Disabled. The AHA actively pursues all HUD/State funding applications when available.

Comments: The AHA should become a HUD-certified housing counseling agency.

Response: The AHA is researching this possibility.

Part I: Summary

PHA Name/Number W1065		Appleton, WI			<input type="checkbox"/> Original 5-Year Plan	<input checked="" type="checkbox"/> Revision No: 1
A.	Development Number and Name	Work Statement for Year 1 FFY 2010	Work Statement for Year 2 FFY 2011	Work Statement for Year 3 FFY 2012	Work Statement for Year 4 FFY 2013	Work Statement for Year 5 FFY 2014
B.	Physical Improvements Subtotal	Annual Statement	58,000	\$213,000	\$212,000	\$210,000
C.	Management Improvements		15,000	15,000	16,000	18,000
D.	PHA-Wide Non-dwelling Structures and Equipment		100,000			
E.	Administration		34,000	34,000	34,000	34,000
F.	Other-Audit		1,000	1,000	1,000	1,000
G.	Operations		12,000	12,000	12,000	12,000
H.	Demolition		-0-	-0-	-0-	-0-
I.	Development		80,000	75,000	75,000	75,000
J.	Capital Fund Financing – Debt Service		-0-	-0-	-0-	-0-
K.	Total CFP Funds		300,000	329,000	350,000	350,000
L.	Total Non-CFP Funds		-0-	-0-	-0-	-0-
M.	Grand Total		300,000	350,000	350,000	350,000

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

[illegible]

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

[illegible]

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		Grant Type and Number Capital Fund Program Grant No: W139P06550109 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2009 FFY of Grant Approval: 2009	
PHA Name: Appleton Housing Authority				
Type of Grant <input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010				
Summary by Development Account		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Final Performance and Evaluation Report		<input type="checkbox"/> Revised Annual Statement (revision no:1)
Line		Original	Total Estimated Cost Revised ²	Obligated Total Actual Cost ¹ Expended
1	Total non-CFP Funds			
2	1406 Operations (may not exceed 20% of line 21) ³			
3	1408 Management Improvements			
4	1410 Administration (may not exceed 10% of line 21)	34,000	34,000	34,000
5	1411 Audit	1,000	1,000	1,000
6	1415 Liquidated Damages			
7	1430 Fees and Costs	10,000	0	
8	1440 Site Acquisition			
9	1450 Site Improvement	42,000	48,741	4,809
10	1460 Dwelling Structures	125,000	64,000	40,852
11	1465.1 Dwelling Equipment—Nonexpendable			
12	1470 Non-dwelling Structures			
13	1475 Non-dwelling Equipment	62,000	81,000	52,327
14	1485 Demolition			
15	1492 Moving to Work Demonstration			
16	1495.1 Relocation Costs			
17	1499 Development Activities ⁴	50,000	50,000	50,000

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary		FFY of Grant: 2009 FFY of Grant Approval: 2009	
PHA Name: Appleton Housing Authority	Grant Type and Number Capital Fund Program Grant No: W1039P06550109 Replacement Housing Factor Grant No: Date of CFFP:		
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Summary by Development Account <input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost Original	Total Actual Cost ¹ Revised ² Obligated Expended
18a	1501 Collateralization or Debt Service paid by the PHA		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment		
19	1502 Contingency (may not exceed 8% of line 20)		
20	Amount of Annual Grant: (sum of lines 2 - 19)	324,000	182,988
21	Amount of line 20 Related to LBP Activities	278,741	182,988
22	Amount of line 20 Related to Section 504 Activities		
23	Amount of line 20 Related to Security - Soft Costs		
24	Amount of line 20 Related to Security - Hard Costs		
25	Amount of line 20 Related to Energy Conservation Measures		
Signature of Executive Director		Signature of Public Housing Director	Date

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³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages			Grant Type and Number			Federal FFY of Grant: 2009		
PHA Name: Appleton Housign Authority			Capital Fund Program Grant No: WI39PO6550109					
			CFFP (Yes/No):					
			Replacement Housing Factor Grant No:					
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
1410-1	PHA Administrative Salaries			34,000	34,000	34,000	34,000	
1411-1	Fair Share Audit			1,000	1,000	1,000	1,000	
1430-1	Fees and Costs			10,000	0			
1450-1	Addition of Mailboxes			30,000	0			
1450-2	Repair Parking Lot/Roof			12,000	28,000	4,409	4,409	
1450-3	Security Cameras			0	12,741	400	400	
1460-1	New Appliances OH			60,000	40,852	40,852	40,852	
1460-2	Replace Flooring			25,000	8,148			
1460-3	Misc. Roof / Cement / Siding / HVAC / Paint, and other PH repairs work at Scattered Sites.			40,000	15,000			
1475-1	Wellness Office/Health Fair			10,000	10,000			
1475-2	PH Work Vehicle			24,000	0			
1475-3	PR Consultant-Housing Study			28,000	28,000	9,423	9,423	
1475-4	Server/computers/Software			0	43,000	42,904	42,904	
1499-1	Development Activities			50,000	50,000	50,000	50,000	
	Total			324,000	278,741	182,988	182,988	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
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Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name:					
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1410-1	6/30/2010		12/31/2010		
1411-1	6/30/2010	6/30/10	12/31/2010	9/30/10	
1430-1	6/30/2011		12/31/2011		
1450-1	6/30/2011		12/31/2011		
1450-2	6/30/2011		12/31/2011		
1450-3	6/30/2011		12/31/2011		
1460-1	6/30/2011	12/31/09	12/31/2011	6/30/10	
1460-2	6/30/2011		12/31/2011		
1460-3	6/30/2011		12/31/2011		
1475-1	6/30/2011		12/31/2011		
1475-2	6/30/2011		12/31/2011		
1475-3	6/30/2011		12/31/2011		
1475-4	6/30/2011	3/31/10	12/31/2011	9/30/10	
1499-1	6/30/2011	6/30/10	12/31/2011	6/30/10	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary									
PHA Name:		Grant Type and Number		Federal FY of Grant: 2008					
Appleton Housing Authority		Capital Fund Program Grant No: W139P06550108 Replacement Housing Factor Grant No:							
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010		<input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 002)		<input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost					
		Original	Revised	Obligated	Expended				
1	Total non-CFP Funds								
2	1406 Operations								
3	1408 Management Improvements								
4	1410 Administration	\$32,000	\$32,000	32,000	32,000				
5	1411 Audit	\$1,000	\$1,000	1,000	1,000				
6	1415 Liquidated Damages								
7	1430 Fees and Costs	\$10,000	0						
8	1440 Site Acquisition								
9	1450 Site Improvement	\$109,500	\$29,454	29,454	29,454				
10	1460 Dwelling Structures	\$100,500	\$71,091	71,091	65,910				
11	1465.1 Dwelling Equipment—Nonexpendable								
12	1470 Nondwelling Structures								
13	1475 Nondwelling Equipment								
14	1485 Demolition	\$34,000	\$91,095	91,095	91,095				
15	1490 Replacement Reserve								
16	1492 Moving to Work Demonstration								
17	1495.1 Relocation Costs								
18	1499 Development Activities		\$55,000	55,000	55,000				
19	1501 Collateralization or Debt Service								
20	1502 Contingency								
21	Amount of Annual Grant: (sum of lines 2 – 20)	\$287,000	\$279,640	279,640	274,459				
22	Amount of line 21 Related to LBP Activities								
23	Amount of line 21 Related to Section 504 compliance								
24	Amount of line 21 Related to Security – Soft Costs								
25	Amount of Line 21 Related to Security – Hard Costs								
26	Amount of line 21 Related to Energy Conservation Measures								

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: Appleton Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39PO6550108 Replacement Housing Factor Grant No:			Federal FY of Grant: 2008			
Development Number Name/HA- Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
1410-01	PHA Administration/Salaries			\$32,000	\$32,000	32,000	32,000	Complete
1411-01	Fair Share Audit			\$1,000	\$1,000	1,000	1,000	Complete
1430-01	A & E Services Consultant Services			\$10,000	\$0	0	0	N/A
1450-1	3 Stall Secured Garage			\$85,000	0	0	0	N/A
1450-2	Fence-Main Admin Office			\$8,000	\$5,610	5,610	5,610	Complete
1450-3	Parking Lot Repair Main and OH			\$12,000	\$19,066	19,066	19,066	Complete
1450-4	Signage-OH			\$4,500	0	0	0	N/A
1450-5	Landscaping Scattered Sites			0	4,778	4,778	4,778	Complete
1460-1	New Appliances-OH, OH Annex & Scattered Site			\$30,000	12,794	12,794	12,794	Complete
1460-2	Update Game Room OH			\$5,500	14,884	14,884	14,884	Complete

**Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages**

PHA Name: Appleton Housing Authority		Grant Type and Number Capital Fund Program Grant No: W139PO6550108 Replacement Housing Factor Grant No:		Federal FY of Grant: 2008		Status of Work	
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Funds Obligated	Funds Expended
1460-03	Misc. Roof, Siding, HVAC, Cement, Flooring & other Repair Work at Scattered Sites/OH			\$40,000	\$43,413	43,413	38,232
1460-04	Install Energy Dining Room Light Fixtures-OH			\$25,000	0	0	0
1475-01	PH Tractor Replacement			\$16,000	\$23,058	23,058	23,058
1475-02	Management Improvement PR Consultant, Office Equipment, Annual Report, Wellness Clinic			\$18,000	\$24,954	24,954	24,954
1475-03	Maintenance/Plow Truck			0	43,083	43,083	43,083
1499-01	Development Activities			0	\$55,000	55,000	55,000
				\$287,000	\$279,640	279,640	274,459

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Appleton Housing Authority		Grant Type and Number Capital Fund Program No: W139PO6550108 Replacement Housing Factor No:			Federal FY of Grant: 2008		
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			Reasons for Revised Target Dates
	Original	Revised	Actual	Original	Revised	Actual	
PHA Wide 1410	06/30/09		6/30/09	12/31/10		12/31/10	
PHA Wide 1411	12/31/09		6/30/09	12/31/10		06/30/09	
2008-1430-01	12/31/09		N/A	03/31/10		N/A	
2008-1450-01	12/31/09		N/A	03/31/10		N/A	
2008-1450-02	12/31/09		09/30/09	03/31/10	9/30/10	9/30/10	Held retainer until project complete
2008-1450-03	12/31/09		09/30/09	03/31/10	9/30/10	9/30/10	Held retainer until project complete
2008-1450-04	12/31/09		N/A	03/31/10		N/A	
2008-1450-05			12/31/09			06/30/10	
2008-1460-01	12/31/09		12/31/10	03/31/10		3/31/10	
2008-1460-02	12/31/09		12/31/09	03/31/10		9/30/10	Held retainer until project complete
2008-1460-03	12/31/09		03/31/10	03/31/10			
2008-1460-04	12/31/09		N/A	12/31/10		N/A	
2008-1475-01	12/31/09		6/30/09	12/31/10		6/30/09	
2008-1475-02	12/31/09		6/30/09	12/31/10		6/30/09	
2008-1475-03			03/31/10			6/30/10	
2008-1499-01	12/31/09		12/31/09	12/31/10		12/31/09	